

Estimating Cystic Fibrosis Lung Function Decline: An Empirical Study

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I have no actual or potential conflict of interest in relation to this presentation.

Introduction

Introduction: Data set

US Cystic Fibrosis Foundation Patient Registry (CFFPR) 2003-2016

→ Included: 35,252 patients

US Cystic Fibrosis Foundation Patient Registry (CFFPR) 2003-2016

- Included: 35,252 patients

- Excluded: patients with missing pulmonary function (808 patients)
- Excluded: aged < 6 years (137 patients)
- Excluded: lung transplant prior to 2003 (580 patients)

Total of 33,727 patients with 1,276,456

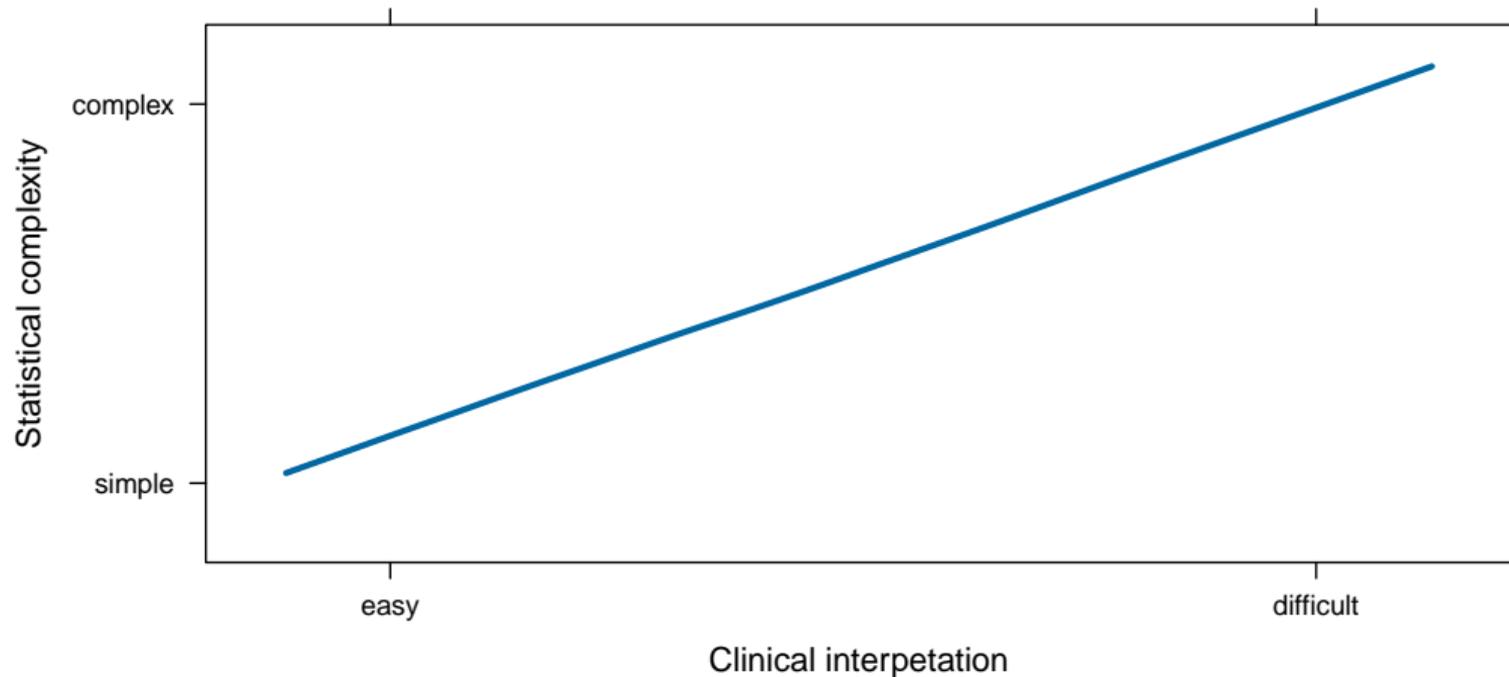
Introduction: Goal

Variability in analytic approaches to model FEV_1 decline

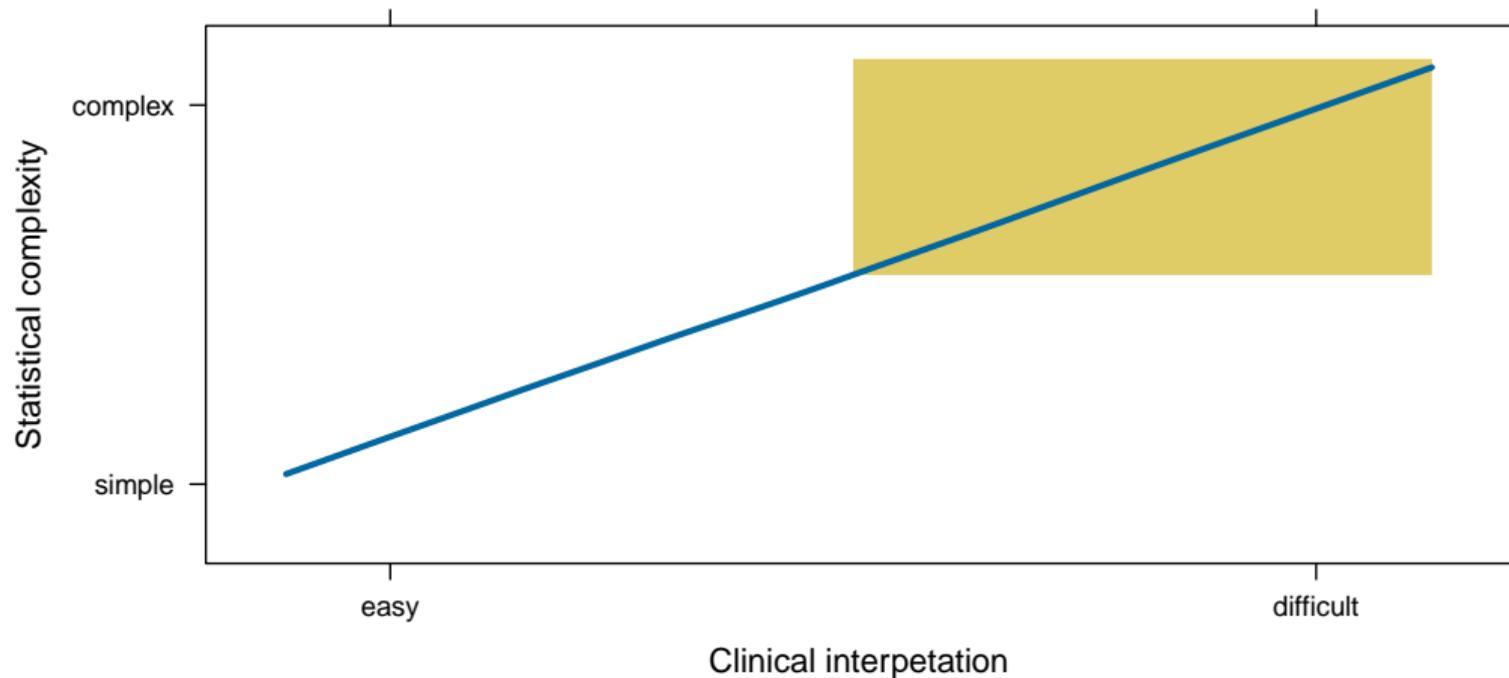
Variability in analytic approaches to model FEV_1 decline

- Rationale for selected statistical methods
- Differences between models
- Differences between scenarios
 - ◇ small sample size
 - ◇ smaller follow-up period

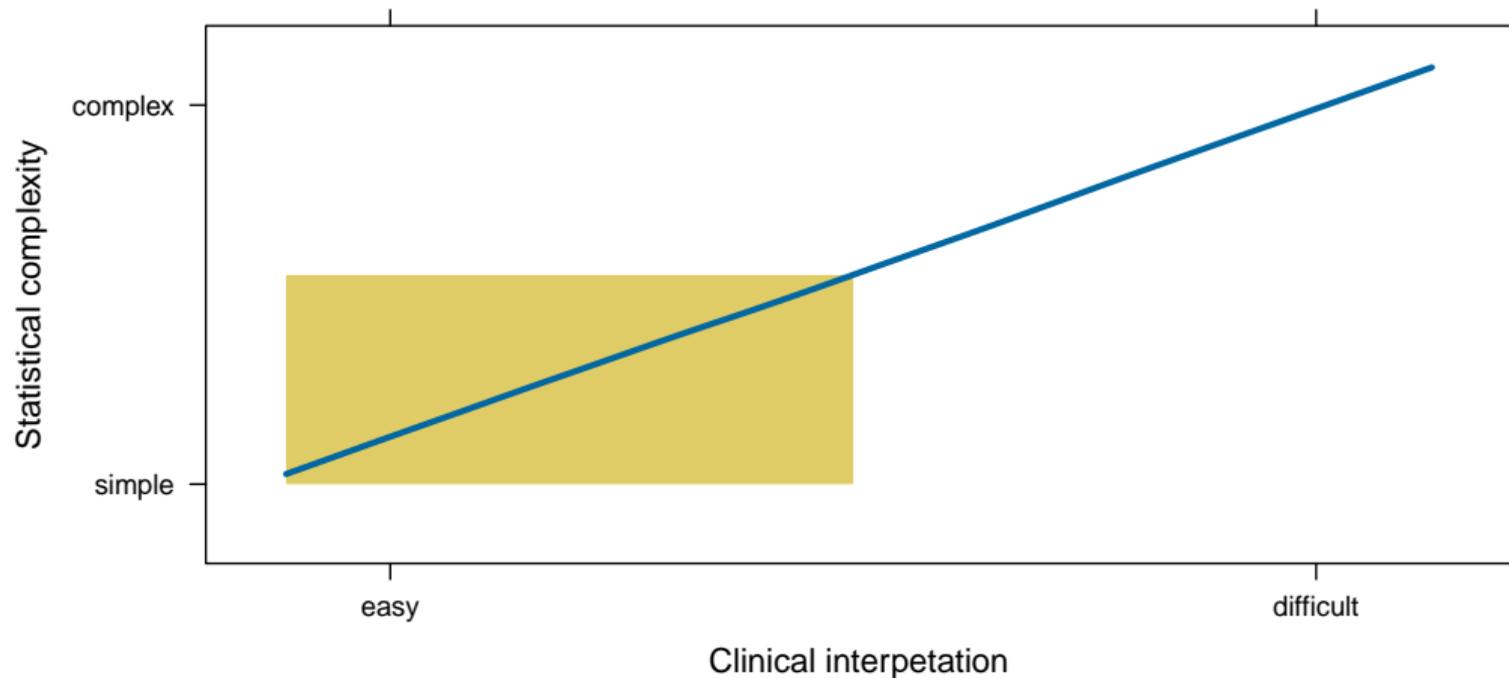
Introduction: Challenge



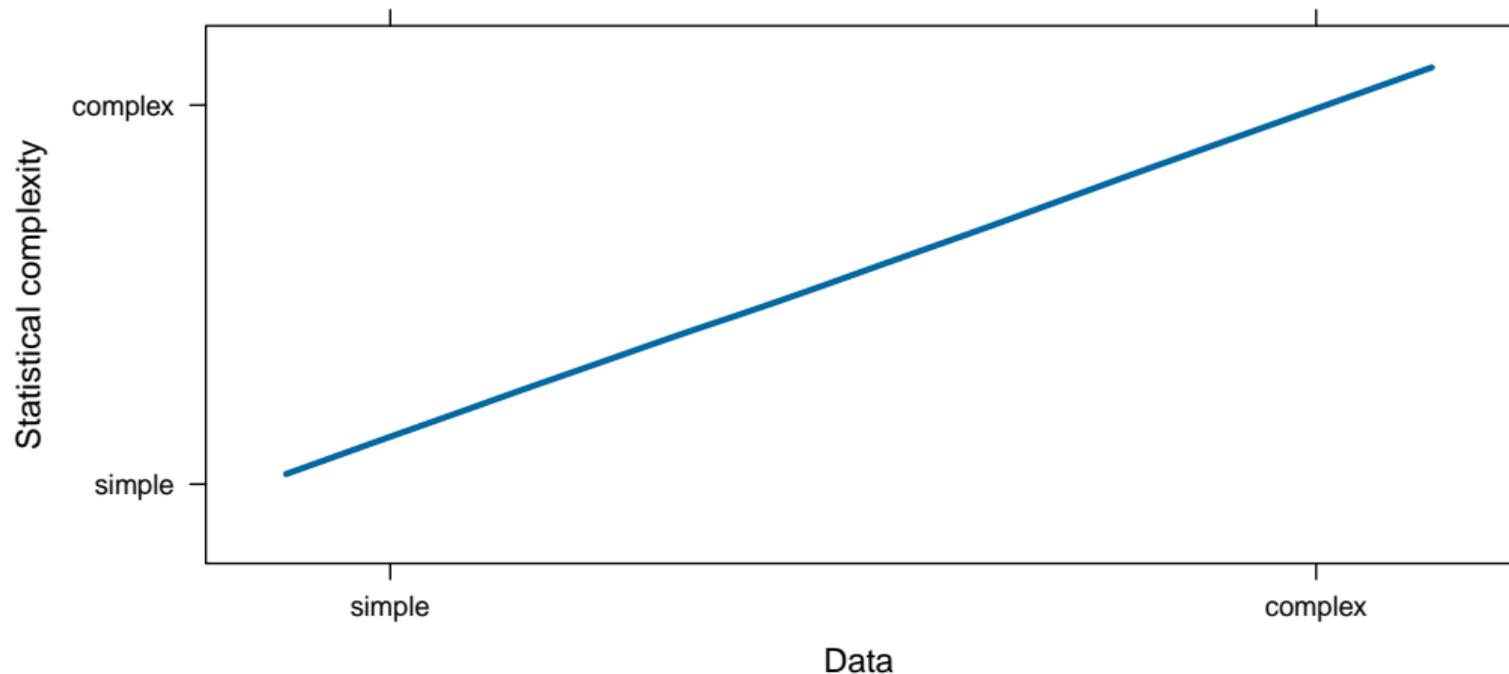
Statisticians



Clinicians

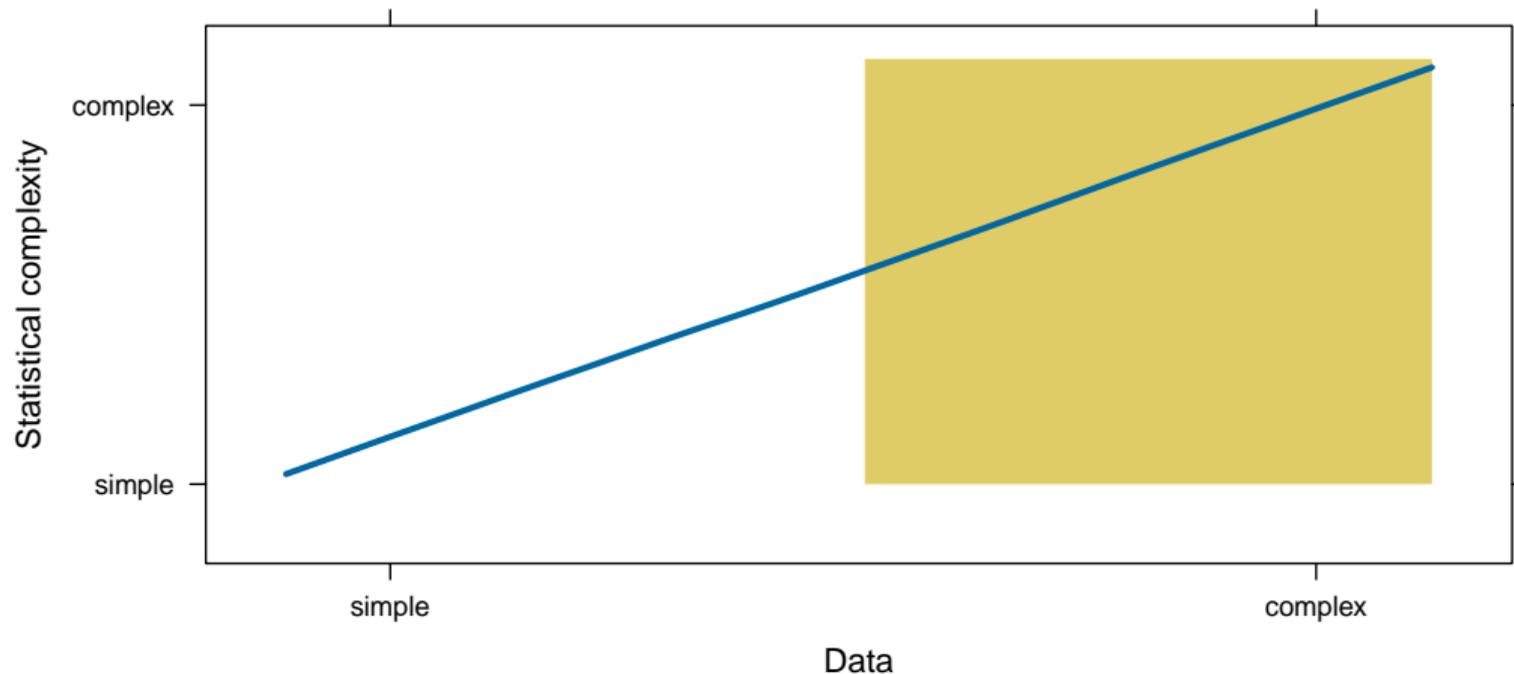


But...

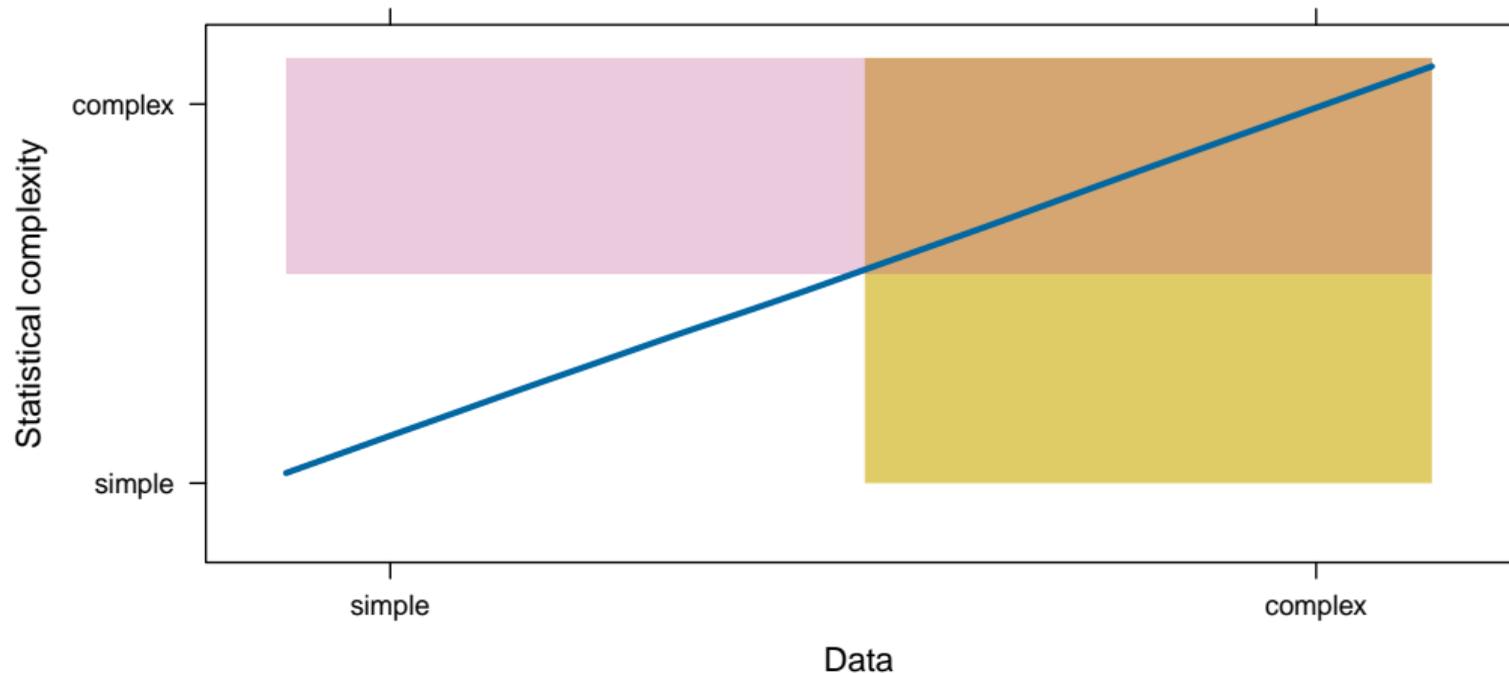


Introduction: Challenge

CF data



Therefore...



Methods

Characteristics of FEV_1

- Multiple measurements within the same patient
- Unbalanced design
- Measurement error

Methods: Complex statistical models

- Mixed effects models (e.g. random effects structure)
- Generalized estimating equation (e.g. correlation structure)
- Joint models of longitudinal and survival data

Methods: Keep in mind!

Each data set is unique

→ There is no **One Model Fits All Needs** solution

Let y_i represent the repeated measurements of an outcome for the i -th patient,
 $i = 1, \dots, n$

$$y_i(t) = x_i^\top(t)\beta + z_i^\top(t)b_i + \epsilon_i(t),$$

where

$$\epsilon_i(t) \sim N(0, \sigma^2)$$

$$b_i \sim N(0, D)$$

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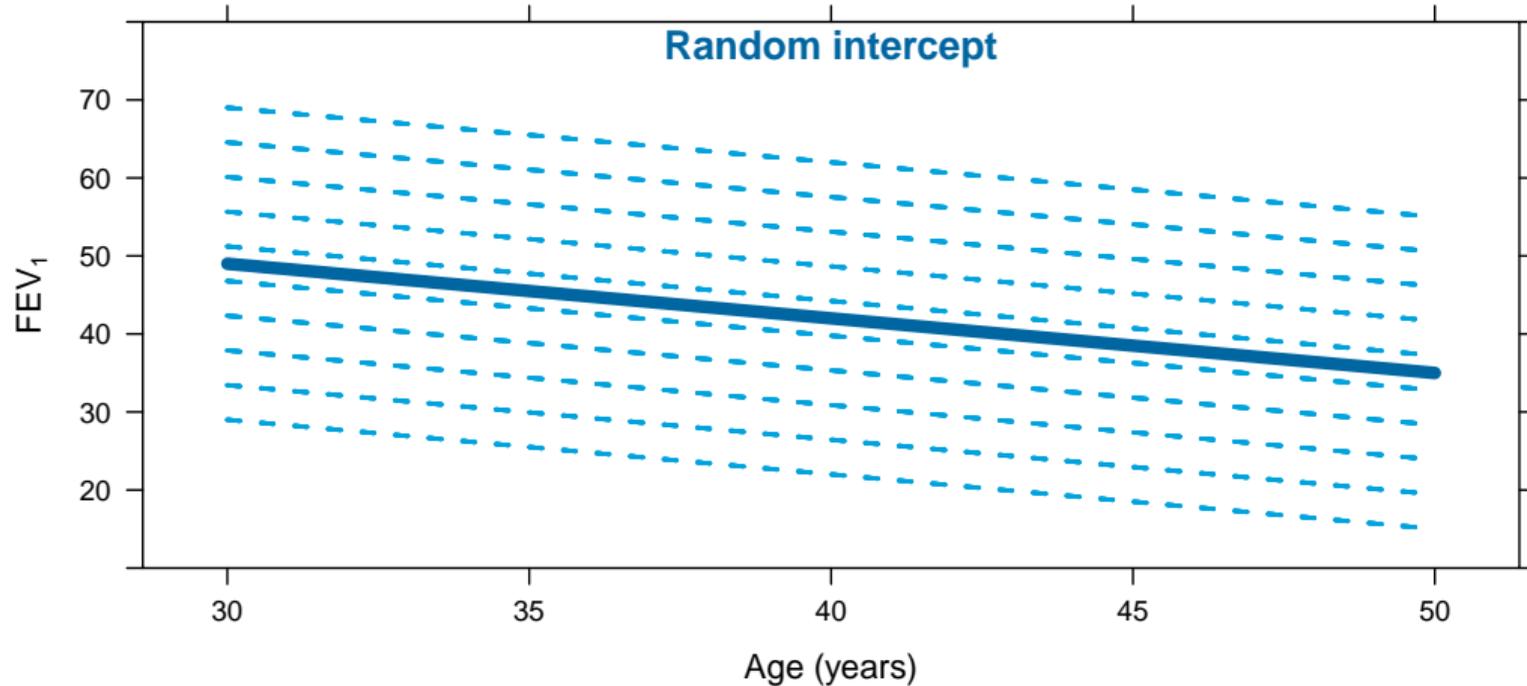
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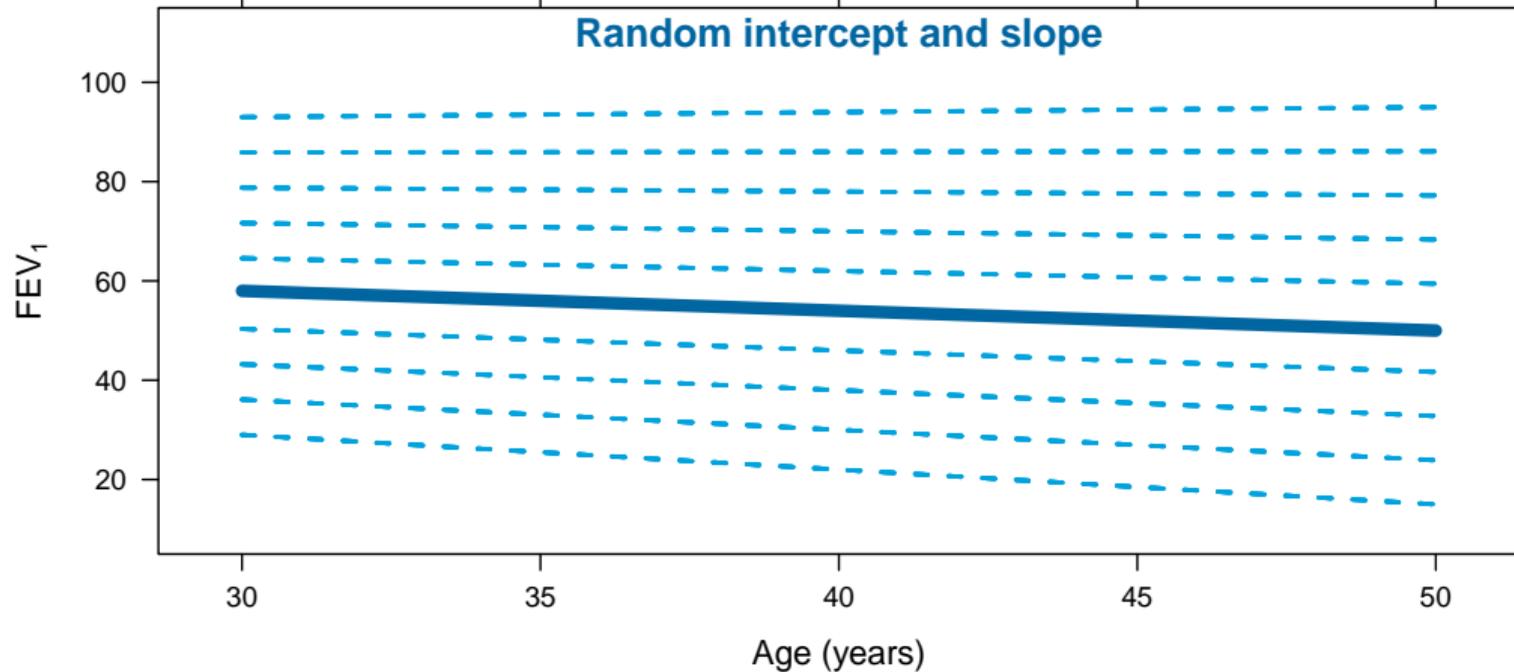
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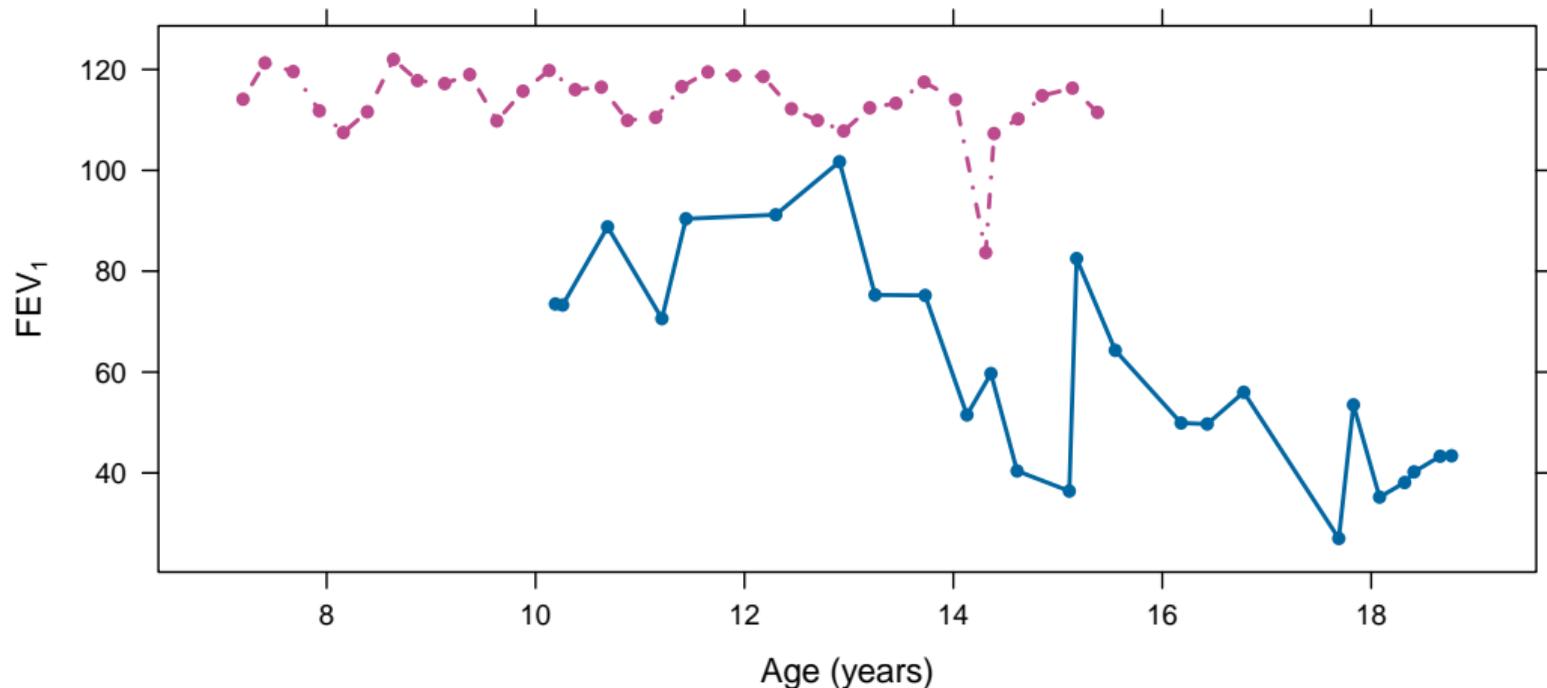
Linear time



Linear time



Linear evolution might not be appropriate



Methods: Nonlinear effects

Assume polynomials

Methods: Nonlinear effects

Assume polynomials

Even better, assume splines!

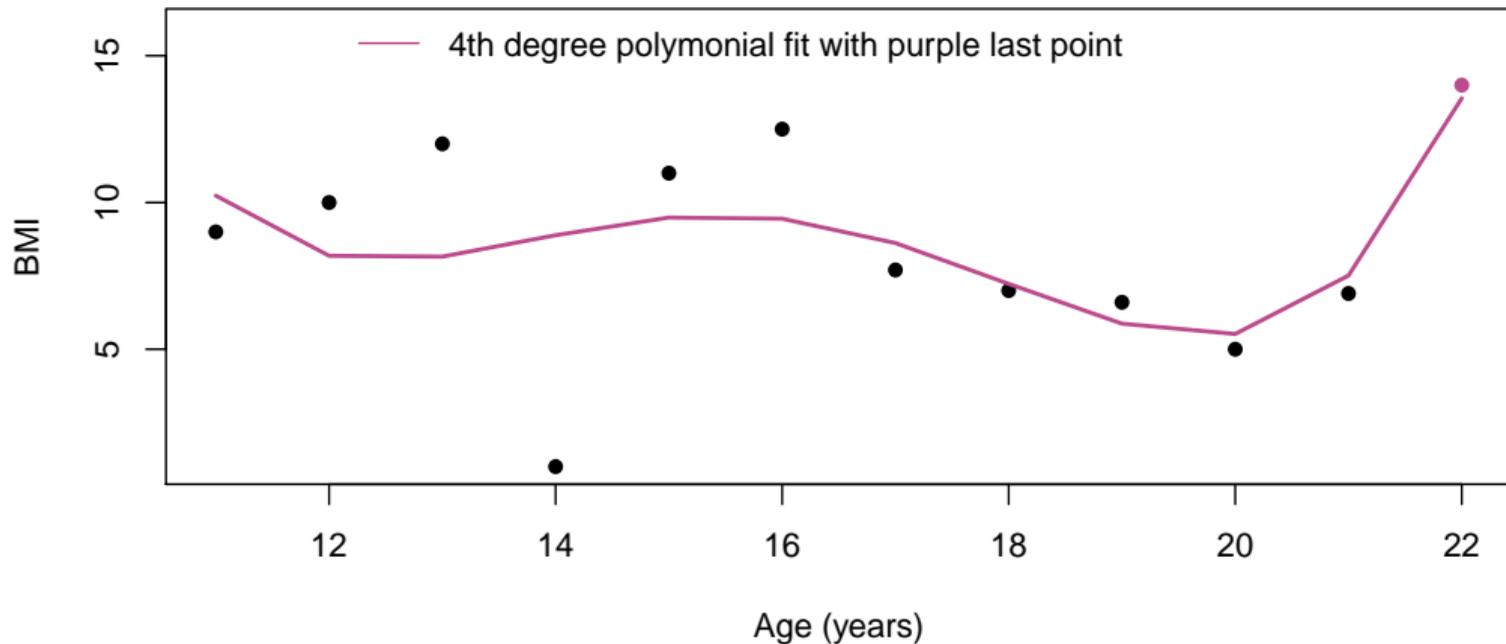
Methods: Nonlinear effects

Assume polynomials

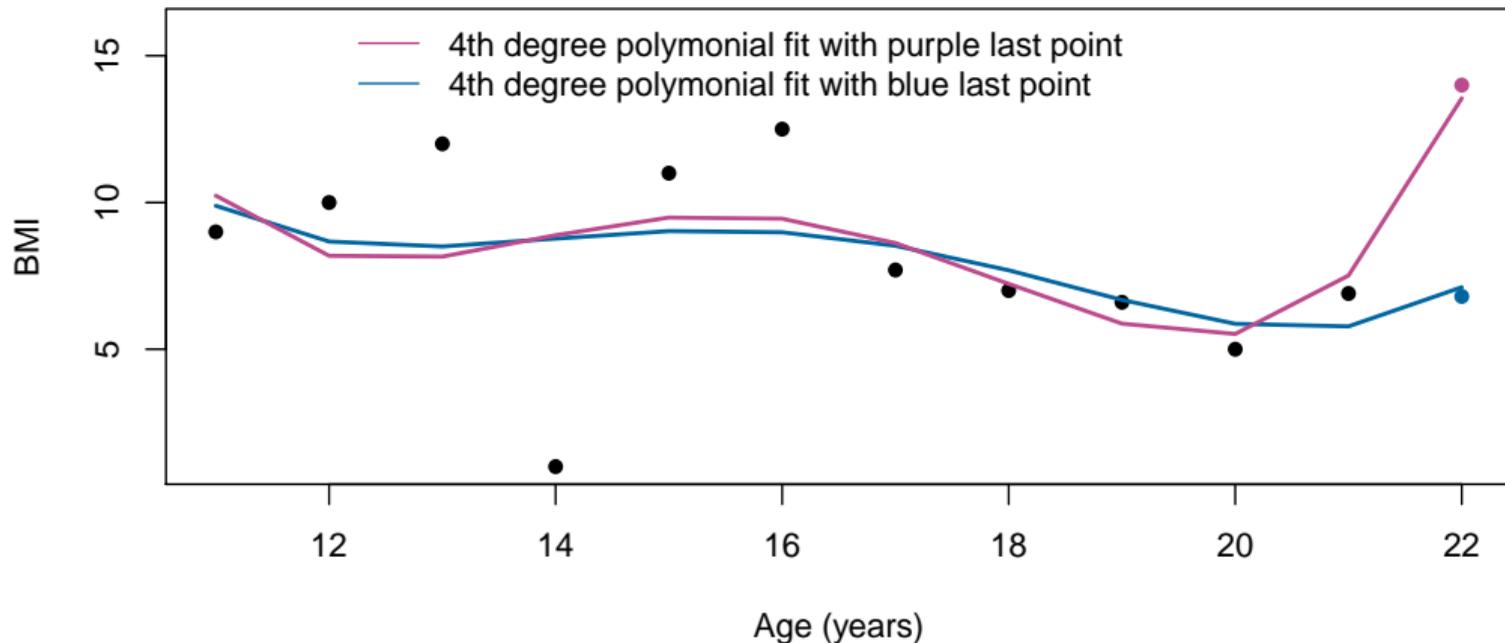
Even better, assume splines!

☹️ **But... Multiple not interpretable coefficients**

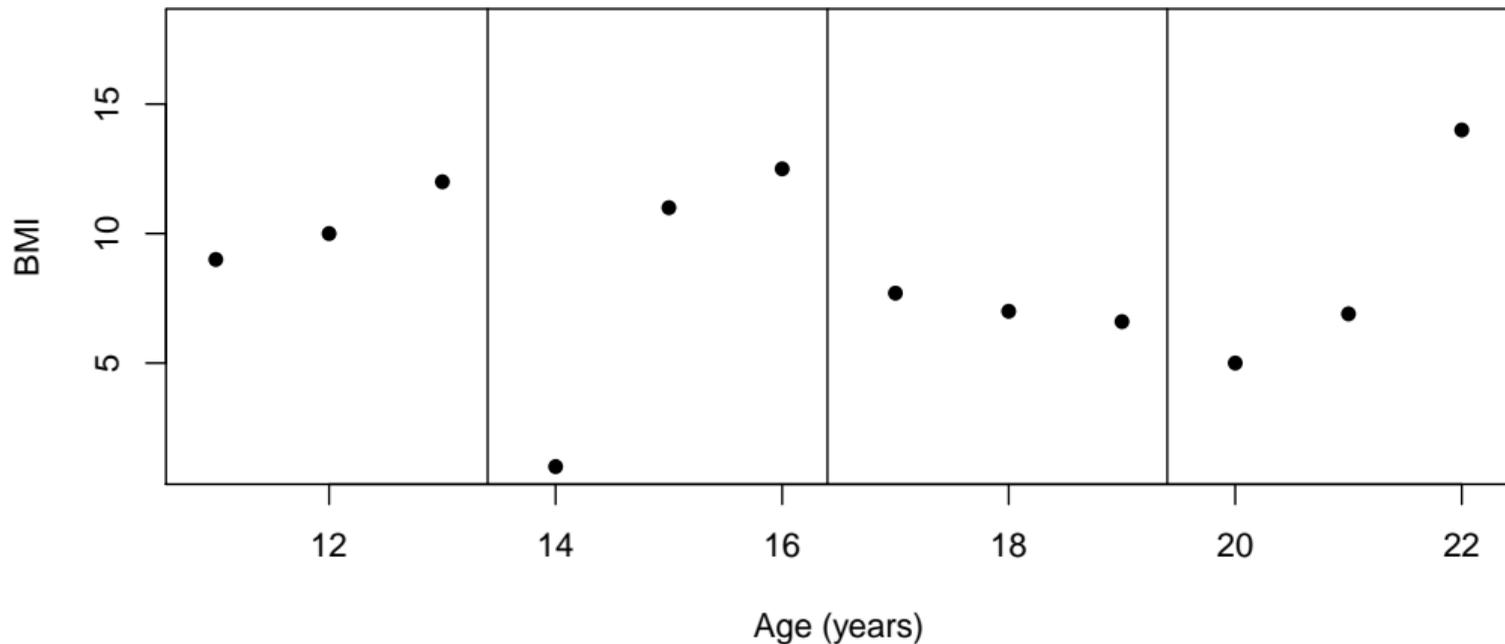
Methods: Nonlinear effects



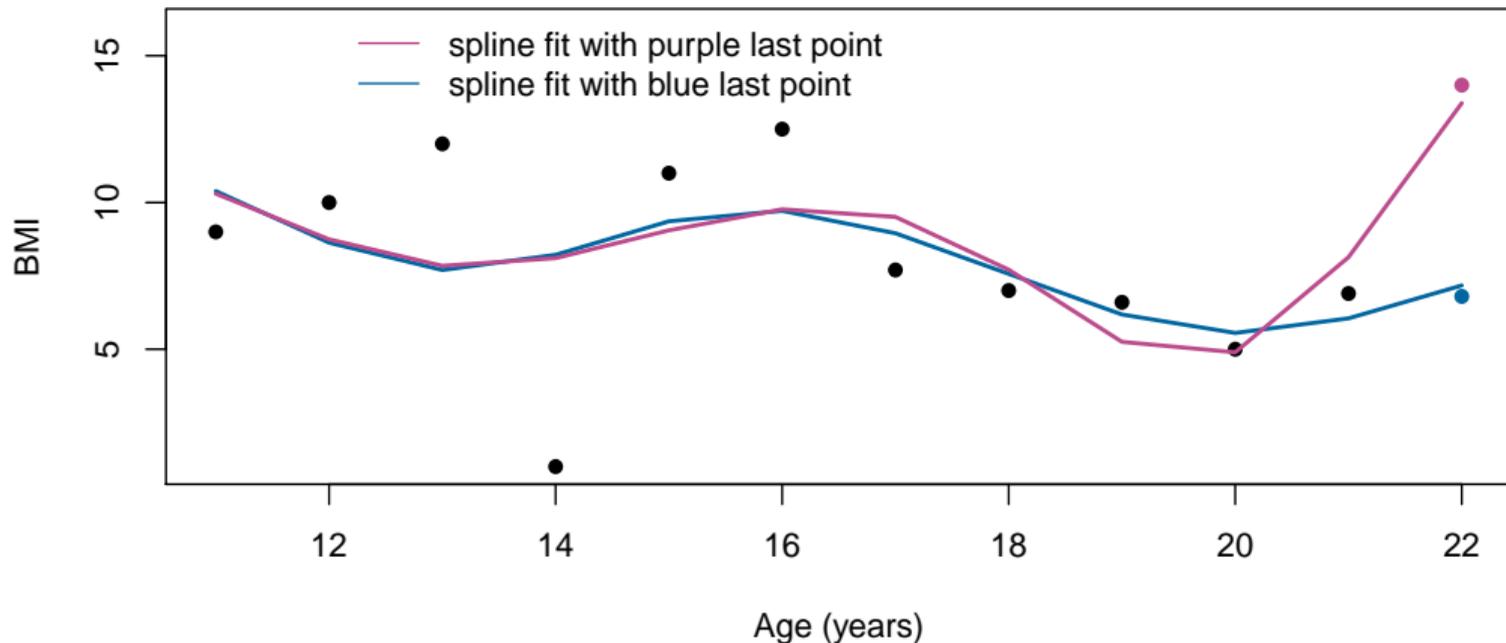
The two lines are different



Splines: Split the follow-up period in a number of intervals



The two line are similar



Let y_i represent the repeated measurements of an outcome for the i -th patient,
 $i = 1, \dots, n$

$$y_i(t) = x_i^\top(t)\beta + \epsilon_i(t),$$

where

$$\epsilon_i(t) \sim N(0, V_i)$$

→ exponential covariance pattern for V_i

Let y_i represent the repeated measurements of an outcome for the i -th patient,
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$$y_i(t) = x_i(t)\beta + b_{0i} + \epsilon_i(t),$$

where

$$\epsilon_i(t) \sim N(0, V_i)$$

$$b_i \sim N(0, D)$$

→ exponential covariance pattern for V_i

Taylor-Robinson, D., Whitehead, M., Diderichsen, F., Olesen, H. V., Pressler, T., Smyth, R. L., & Diggle, P. (2012). Understanding the natural progression in FEV1 decline in patients with cystic fibrosis: a longitudinal study. *Thorax*, 67(10), 860-866.

Other factors might influence the FEV_1 evolution

- Death
- Transplantation
- PEx

Model jointly!

Joint Models for Longitudinal and Time-to-Event Data

→ **Step 1:** Fit a mixed-effects model

$$\begin{aligned}y_i(t) &= x_i^\top(t)\beta + z_i^\top(t)b_i + \epsilon_i(t) \\ &= m_i(t) + \epsilon_i(t),\end{aligned}$$

where

◇ $m_i(t)$: underlying value of longitudinal outcome

Joint Models for Longitudinal and Time-to-Event Data

→ Step 2: Fit a survival model

$$h_i(t) = h_0(t) \exp[w_i\gamma + m_i(t) \alpha],$$

where

- ◇ $m_i(t)$ underlying value of longitudinal outcome
- ◇ α quantifies the strength of the association between the marker and the risk of an event
- ◇ w_i baseline covariates

Focus:

- On the longitudinal outcome
- On the survival outcome

Data conditions:

- Overall CFFPR data
- Varying the number of patients, e.g. small: center-based 150, medium: national registry, 3000 large: US registry, 30,000).
- Impact of follow-up on estimating FEV_1 decline, e.g. <2 years, 2-5 years, >5 years
- FEV_1 collection frequency, e.g. annual maximum, quarterly mean, quarterly maximum, encounter-level
- Impact of PEx in estimating FEV_1 decline, e.g. include or exclude FEV1 measurements taken during a PEx; include or exclude PEx as a rolling covariate

Methods: Model selection

Within each statistical approach

- Mixed models → AIC
- Joint models → AIC
- Generalized estimating equations → QIC

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Between methods

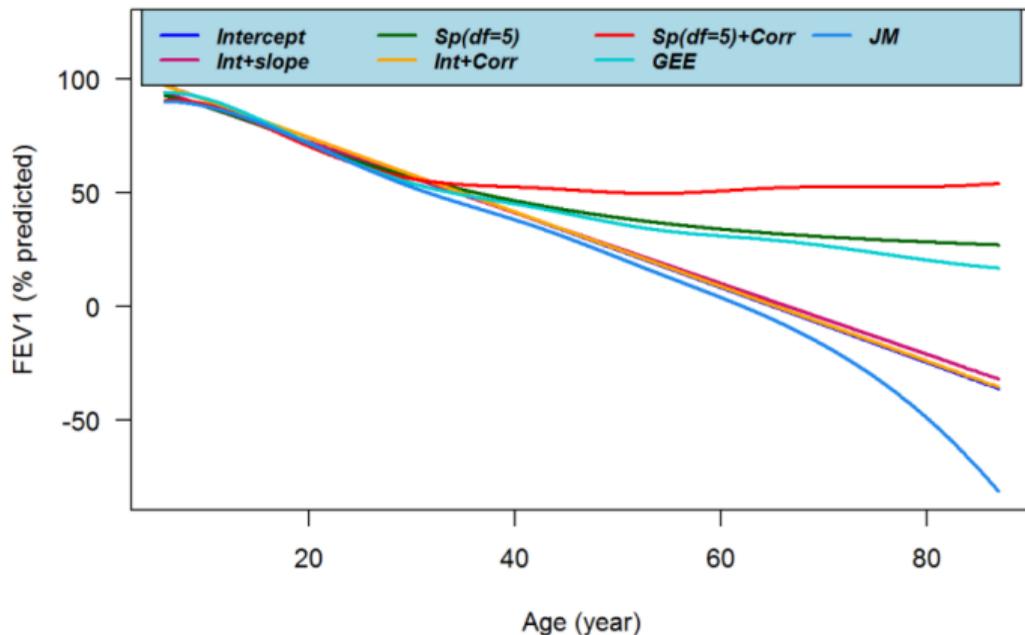
- Mean Absolute Deviation
- Root Mean Square Error
- Correlation

Results

Results: Evolution over time

Using all data

Population-level Evolution



Results: Within models

Using all data

Linear VS nonlinear:

- All models indicated an approximately linear rate of decline until age 30
- Nonlinear models fit better than linear models

Using all data

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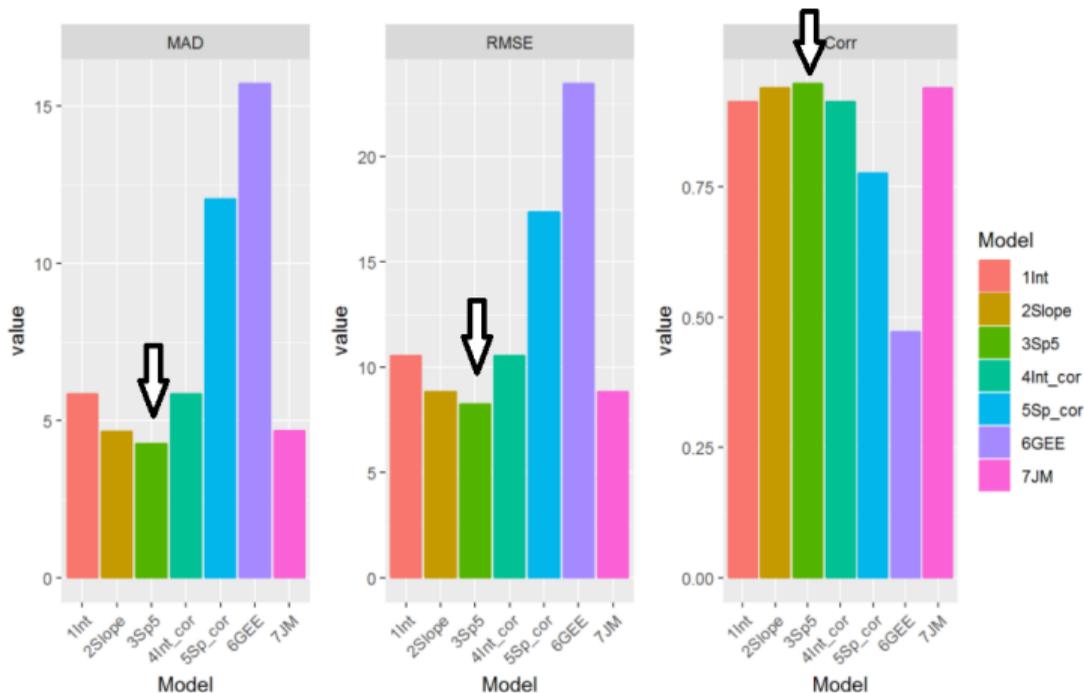
Mixed models - lowest AIC:

- Random intercept
- Correlation structure

Results: Between models

Using all data

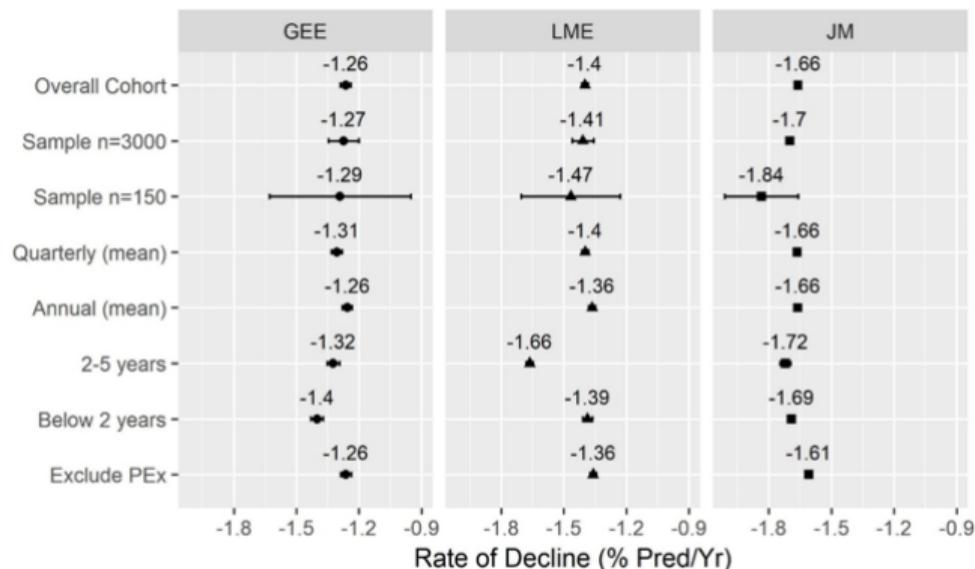
Not corrected for overoptimism



Results: Between models

Scenarios

Estimated rate of FEV1 decline assuming linear progression



Model Type • GEE ▲ LME ■ JM

Discussion

Methods

- Overview of statistical analysis
- Different scenarios

Methods

- Overview of statistical analysis
- Different scenarios

Result highlights

- Non linear evolution (declines more rapidly at earlier ages)
- Impact were similar across scenarios expect for length of f.u. and sample size

Thank you for your attention!

The slides are available at: <https://www.erandrinopoulou.com>

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